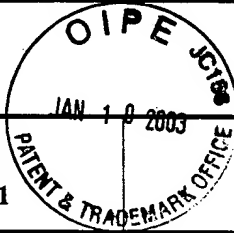


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1-16-03

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14651

In Re Application Of: Takayuki Tomita, et al.



Serial No.  
09/865,218

Filing Date  
May 25, 2001

Examiner  
Unassigned

Group Art Unit  
2631

Title:

**SPECTRUM SPREAD RECEIVER DEVICE**

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JAN 13 2003

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2600

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

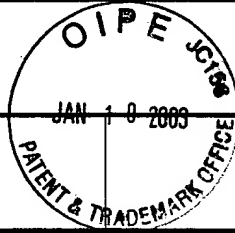
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
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**Unassigned**

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Title:

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**Payment of Fee**

**Technology Center 2600**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **January 6, 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

**Michelle Mustafa**

*Typed or Printed Name of Person Mailing Correspondence*

**\*This certificate may only be used if paying by deposit account.**

*Signature*

**Paul J. Esatto, Jr., Reg. No. 30,749**  
**SCULLY, SCOTT, MURPHY & PRESSER**  
**400 Garden City Plaza**  
**Garden City, NY 11530**  
**(516) 742-4343**

**PJE:ahs**

**Dated: January 6, 2003**

CC:



PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Takayuki Tomita, et al.

**Examiner:** Unassigned

**Serial No:** 09/865,218

**Art Unit:** 2631

**Filed:** May 25, 2001

**Docket:** 14651

**For:** SPECTRUM SPREAD RECEIVER  
DEVICE

**Dated:** January 6, 2003

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**JAN 13 2003**

**Technology Center 2600**

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

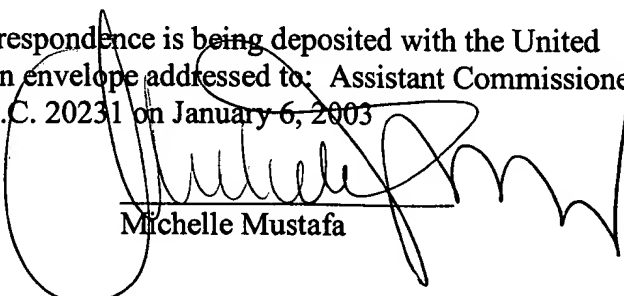
1. Japanese Patent Publication No.11-187342, dated July 9, 1999;
2. Japanese Patent Publication No.9-73781, dated March 18, 1997;
3. Japanese Patent Publication No. 8-194641, dated July 30, 1996;
4. Japanese Patent Publication No. 11-203196, dated July 30, 1999;

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 6, 2003

Dated: January 6, 2003

  
Michelle Mustafa

5. Japanese Patent Publication No. 2001-345737, dated December 14, 2001;  
and
6. Japanese Patent Publication No. 11-331124, dated November 30, 1999.

The references were cited in an Official Action dated October 22, 2002 received from the Japanese Patent Office. Applicants are submitting copies of the above-cited references, together with a translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

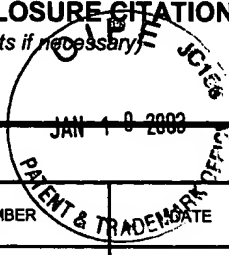
A handwritten signature in black ink, appearing to read 'P. Esatto, Jr.', written in a cursive style.

Paul J. Esatto, Jr.  
Registration No. 30,749

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

PJE:ahs

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	ATTY DOCKET NO. <b>14651</b>	SERIAL NO. <b>09/865,218</b>
	Takayuki Tomita, et al.	
	FILING <b>May 25, 2001</b>	GROUP <b>2631</b>



**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	11-187342	7/9/99	Japan				
	9-73781	3/13/97	Japan				
	8-194641	7/30/96	Japan				
	11-203196	7/30/99	Japan				
	2001-345737	12/14/01	Japan				

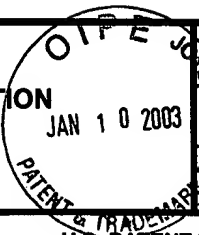
**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE CITATION

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	11-331124	11/30/99	Japan				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

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